

OFFICE USE ONLY	
Lic. Year	_____
Lic. Fee	_____
Appl. Fee	_____
Date Approved	_____
Exp. Date	_____

<p align="center">RACING OR WAGERING EQUIPMENT OR SERVICES LICENSE APPLICATION</p> <p align="center">Kansas Racing and Gaming Commission 700 SW Harrison, Suite 420 Topeka, Kansas 66603-3754 (785) 296-5800</p>
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**PROVIDING FALSE INFORMATION ON THIS APPLICATION
MAY RESULT IN CRIMINAL PROSECUTION.**

Failure to submit all information and fees will result in this application being returned unprocessed. Each application and all attached documents shall be submitted as a single package. An original and one (1) copy of the application and required documents shall be filed at the Commission's Topeka office.

All racing or wagering equipment or services licenses shall expire on December 31 of the expiration year. Prior to the expiration of a racing or wagering equipment or services license, the licensee may apply to the Kansas Racing and Gaming Commission (commission) for renewal of such license on a commission-approved application form and pay the required fees. Each licensee or applicant for a license shall report immediately and in writing any change in license or application information to the commission.

NOTE: All racing or wagering equipment or services licensee records pertaining to Kansas racetrack facilities are subject to review. Records may be subject to an audit review by commission staff at any time.

Mark type of license applying for:

- RWI ☐ Applicant contracts with a non-county fair racetrack to provide racing or wagering equipment or services for a total contract value of \$25,000 or greater and has employees working at the racetrack on a routine basis.
- RWII ☐ Applicant contracts with a non-county fair racetrack to provide racing or wagering equipment or services for a total contract value of less than \$25,000 and has employees working at the racetrack on a routine basis.

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|-------|--------------------------|---|
| RWIII | <input type="checkbox"/> | Applicant contracts with a county fair racetrack to provide racing or wagering equipment or services. |
| RWIV | <input type="checkbox"/> | Applicant contracts with a non-county fair racetrack to provide racing or wagering equipment or services for a total contract value of \$25,000 or greater and has no employees working at the racetrack. |
| RWV | <input type="checkbox"/> | Applicant contracts with a non-county fair racetrack to provide racing or wagering equipment or services for a total contract value of less than \$25,000 and has no employees working at the racetrack. |

1. Name of applicant/business: _____
2. Business address: _____
(Street or P.O. Box)

(City) (State) (Zip Code)
3. Phone number: _____
4. Name of contact person: _____
5. Kansas racetracks at which you intend to provide equipment or services: _____
6. Kansas sales tax permit number (if required): _____
7. Federal tax identification number: _____
8. Are you liable under the Kansas Worker's Compensation Act? ☐ Yes ☐ No
If "Yes," attach proof of worker's compensation insurance.
9. Attach contract from organization licensee authorizing business to provide equipment or services at the racing facility.
10. List name, address, and telephone number of each partner, owner, officer, director, board member, policy-making manager, or other entity and indicate those persons or entities having a voting interest or ownership in the business of the applicant.

<u>Name</u>	<u>Address</u>	<u>Phone No.</u>	<u>Percent</u>
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11. List names of individuals and employees scheduled to work at the racetrack:

NOTE: Racing or wagering equipment or services licenses shall be issued for a period of time as established by the commission (K.S.A. 74-8837).

NOTE: Each exhibit, statement, report, paper, or other document submitted in support of the application shall be current, accurate, and complete. Any change shall be reported immediately to the commission during the period of application or licensure. At all times, a current copy of the documents supporting the application shall be recorded in the commission's office.

Applicant Requirements

IMPORTANT NOTICE: All persons working at a racetrack facility on behalf of a licensed racing or wagering equipment or services provider must obtain an occupation license from the commission before beginning work at the racetrack. Forms are available from the commission.

RW I License

1. The applicant shall disclose the identity of each partner, owner, officer, director, board member, policy-making manager, and any other entity having control and list each person or entity with a voting interest or ownership in the business. Each entity or individual identified having a voting interest or ownership of five percent (5%) or more shall complete and submit with this application fingerprint cards and a Kansas Racing and Gaming Commission Personal Background Disclosure Form. These forms are available from the commission's Topeka office.
2. The applicant shall complete and submit with this application a Racing or Wagering Equipment or Services Information Form. This form is available from the commission's Topeka office.
3. The applicant shall submit with this application the application fee and license fee.

RW II License

1. The applicant shall disclose the identity of each partner, owner, officer, director, board member, policy-making manager, and any other entity having control and list each person or entity with a voting interest or ownership in the business. Each entity or individual identified having a voting interest or ownership of five percent (5%) or more shall complete and submit with this application fingerprint cards and a Kansas Racing and Gaming Commission Personal Background Disclosure Form. These forms are available from the commission's Topeka office.
2. The applicant shall complete and submit with this application a Racing or Wagering Equipment or Services Information Form. This form is available from the commission's Topeka office.
3. The applicant shall submit with this application the application fee and license fee.

RW III License

1. The applicant shall disclose the identity of each partner, owner, officer, director, board member, policy-making manager, and any other entity having control and list each person or entity with a voting interest or ownership in the business.
2. The applicant shall submit with this application the application fee and license fee.

RW IV License

1. The applicant shall disclose the identity of each partner, owner, officer, director, board member, policy-making manager, and any other entity having control and list each person or entity with a voting interest or ownership in the business.
2. The applicant shall submit with this application the application fee and license fee.

RW V License

1. The applicant shall disclose the identity of each partner, owner, officer, director, board member, policy-making manager, and any other entity having control and list each person or entity with a voting interest or ownership in the business.
2. The applicant shall submit with this application the application fee and license fee.

<u>Application and License Fees</u>		<u>Length of License</u>	<u>Application Fee</u>	<u>License Fee</u>
RWI	Contract value of \$25,000 or more in a calendar year with employees working at the racetrack.	5 years	\$3,000	\$500
RWII	Contract value of less than \$25,000 in a calendar year with employees working at the racetrack.	5 years	\$1,000	\$250
RWIII	Contract with county fair licensee.	1 year	\$20	\$20
RWIV	Contract value of \$25,000 or more in a calendar year with no employees working at the racetrack.	5 years	\$100	\$400
RWV	Contract value of less than \$25,000 in a calendar year with no employees working at the racetrack.	5 years	\$100	\$150

\$ _____
Application Fee

\$ _____
License Fee

\$ _____
Total Due

Make checks payable to: Kansas Racing and Gaming Commission

ADDITIONAL COSTS

NOTE: Pursuant to K.S.A. 74-8837(b), each applicant may be required to pay the cost of investigating his/her qualifications for licensure. This cost may be substantial and will include the cost of processing fingerprints. The application fee will be used to cover these costs. However, if fees are not sufficient, the applicant may be charged by the commission for costs above and beyond the application fees.

I request and authorize the Kansas Racing and Gaming Commission to conduct an official investigation of my personal history and background. I understand that application information is subject to the Open Records Act of Kansas. I authorize all consumer reporting agencies to release to the commission any information requested in connection with this background investigation. I certify that all statements in this application are true and complete. I understand that failure to disclose all information accurately may result in denial or revocation of this license as well as criminal prosecution. I have read and understand this application.

Date: _____

Business Name: _____

Authorized Signature: _____

Title: _____

Signed and sworn to before me this _____ day of _____, _____.

State of _____)

)

County of _____)

Notary Public

My appointment expires: _____

Please mail or return this form, including all requested information and payment of fees, to:

**Kansas Racing and Gaming Commission
Licensing Division
700 SW Harrison, Suite 420
Topeka, KS 66603-3754**